



DIRECTORATE OF INVESTIGATION AND ASSET TRACING

MONTHLY REPORT, OCTOBER 2011

EXECUTIVE SUMMARY

The Directorate of Investigations and Asset Tracing is one of the four Directorates of the Commission. The following is a summary of the Directorate's activities for the month of **October** 2011. The report covers activities in the Headquarters and the Regional Offices of Mombasa and Kisumu.

Reports Centre

		August	Sept	October
1	Total Reports Received	690	374	515
2	Reports taken up for Investigations	282	166	176
3	% Relevance of Cases Received	41%	44%	34%

Operations

		August	Sept	October
1	Cases finalized and taken to Court.	2	2	3
2	Cases Closed / NFA	24	8	10
3	People Arrested and Charged.	9	1	1
4	Cases pending under investigations.	16	3	4

Forensic Investigations

		August	Sept	October
1	Investigations finalized and sent to the AG	3	7	7
2	Cases pending before court	5	2	5
3	Cases concluded in court	-	1	-
4	Cases pending under investigations	1395	1517	1542

Intelligence

		August	Sept	October
1	Reports under Intelligence Development	13	6	12
2	Tests Executed under ITP	11	1	7
3				

PART 1: REPORTS CENTRE

1.1 Summary of Cases

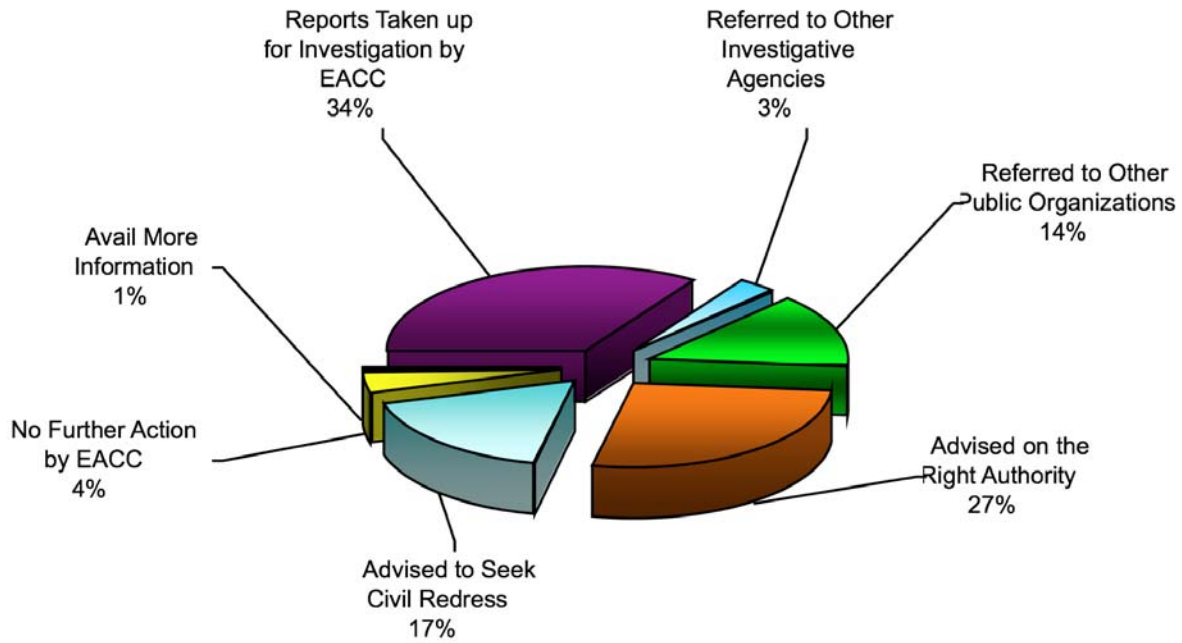
During the month of **October 2011**, the Reports Centre (Nairobi, Mombasa & Kisumu) processed a total of **515** reports.

	Category	Referral Agency	No. of cases	Total
1	Reports for action by EACC	EACC - Intelligence Production	69	176
		EACC – Rapid Response	17	
		EACC – Forensics	89	
		EACC – Legal Services	-	
		EACC – Preventive Services	1	
2	Reports to Other Investigative Agencies	Criminal Investigation Department	5	16
		Kenya Revenue Authority KRA	7	
		Police	1	
		Immigration Dept	3	
3	Reports to Public Service Organisations	Ministry of Basic Education	20	73
		Provincial Administration	6	
		Ministry of Higher Education	3	
		Public Complaints Standing Committee	2	
		Ministry of Lands and Settlement	2	
		Ministry of Finance	2	
		Ministry of Co-operative Development	2	
		Ministry of Agriculture	2	
		Other Public Bodies	34	
4	Advised to Pursue the Matter in Court			88
5	Advised on the right authority to report to			139
6	Complainants to Avail More Information			3
7	No Further Action by EACC			20
Total				515

- **427** reports processed in Nairobi.
- **31** reports processed in Mombasa.
- **57** reports processed in Kisumu.

The following table highlights disposal of the reports.

Categorization of reports processed by EACC in October 2011



PART II – FORENSICS INVESTIGATIONS

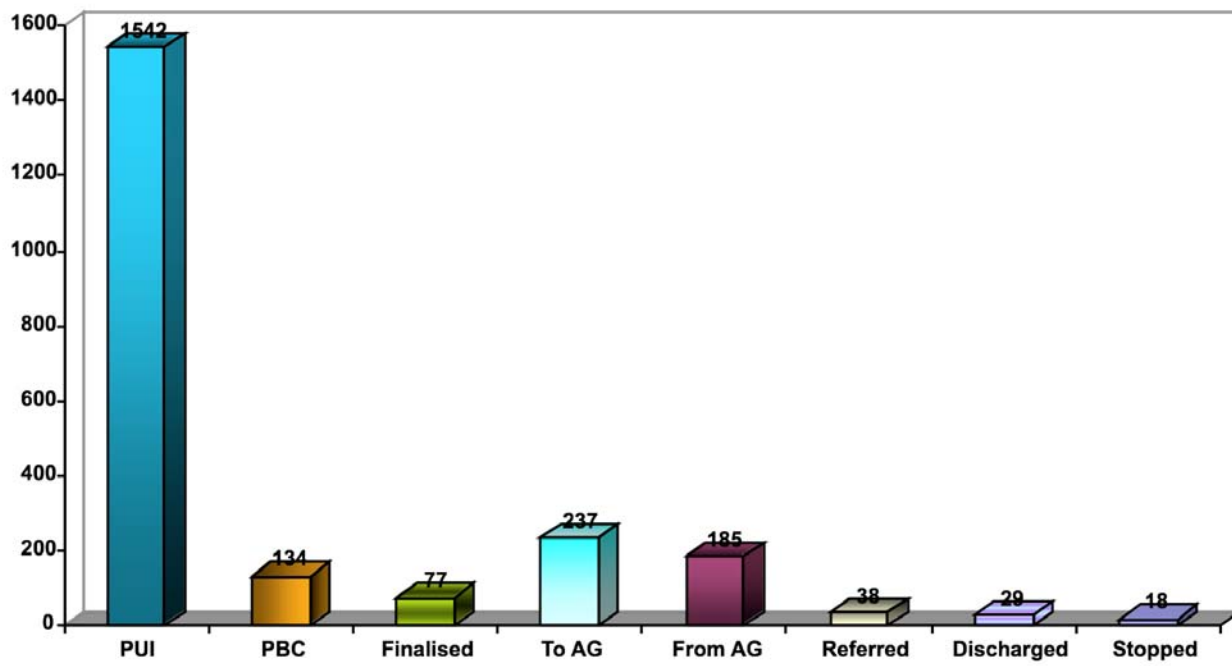
2.1 Summary of Cases

Item	Description/Status	Nbi	Msa	Ksm
1.Cases/	Case Status/Distribution			

Reports	New Cases Received during the month	26	2	8
	New Cases assigned for Investigations	26	4	8
	On-Going Investigations			10
	Cases awaiting allocation / PUI			9
	Files forwarded to Crime Readers	2	1	-
2.To A-G	Files sent to Crime Reader(s)			
	With Recommendation to Prosecute	4		1
	With Recommendation for Admin Action			-
	With Recommendations for closure	2		-
	After Further Investigations			-
3. From A-G	Files Received from the Attorney-General/Crime Reader(s)			
	To Prosecute	4		
	For Administrative Action	4		
	For Consolidate			
	For Further Investigations			1
	To Close due to insufficient evidence			
4. Court	Cases in Court:			
	Cases Taken for Plea			1
	Cases Before Court			4
5. Finalized	Cases Finalized During the Month			

	Convictions	-	-	-
	Acquittals		-	-
	Discharges	-	-	-
	'Nolle prosequi'	-	-	-

Graphical Representation of the Cases as of 30th October 2011



PART III – OPERATIONS DEPARTMENT

3.1 Summary of Cases

Below is a tabulation of cases and their respective status handled by the Department in **October 2011**

New cases received During the Month

Category		Status	Nbi	Msa	Ksm	Total
1.	Bribery	Number of cases taken to court	0	2	1	3
		Cases pending under Investigation	3	1		4
		Cases Closed, No Further Action	4	1	5	10
		PAKA				
		Cases Referred	1			1
2.	Sting					
Number of persons arrested and charged			-		1	1

Running Summary of Cases 2011

The summary below shows the cumulative cases dealt with by the Rapid Response Division from January to October.

Status	Jan	Feb	Mar	April	May	June	July	Aug	Sept	Oct	Total
Number of cases taken to court	3	8	7	4	5	4	2	2	2	3	40
Cases Closed, No Further Action	23	17	19	26	23	27	29	24	8	10	206
Cases Referred	2	6	7	8	4	2	4	4	2	1	40
Number of Persons arrested and charged	11	8	6	6	6	4	2	9	1	1	36
Cases Pending Under Investigation											4

PART IV – INTELLIGENCE DEPARTMENT

4.1 Summary of Cases

The Department received **44** reports from the Report Centre, **5** Intelligence Reports (IR) from various officers and **1** report from the Integrity Testing Program during the month of **October 2011**.

Breakdown

			Nbi	Msa	Ksm	Total
1	Reports received	Reports Centre	103	3	5	111
		Confidential sources	5	2	2	9
		Integrity Testing	7	-	-	7
2	Intelligence probes Conducted	1	1	1	3	
3	Cases retained for Analysis and Production	8	2	2	12	
4	Cases Referred to Other Departments	100	2		102	
5	Integrity Tests conducted	7	-		7	

4.2 Monthly Activities

Mombasa

1. Intelligence activities and surveillance at the Mariakani weighbridge.
2. Surveillance on corrupt activities at Kilindini Port and tax evasion at the border entry point of Taveta with a view of conducting a sting operation. Investigations are ongoing.

3. Intelligence probe on a consignment of condemned sugar lying at the Customs warehouse Kilindini whose destruction has been put on hold due to vested interests by senior KRA officers. Investigations are ongoing.
4. Completed Preliminary Investigations into allegations of tax evasion during the transfer of Plot No. 3966/MN/1 (Nyali).
5. Joint forensic investigations into allegations of collusion by lands officers and other parties to fraudulently acquire a 25 acre beach plot in Kwale i.e. Block 151/Kwale Diani Beach plots.

Kisumu

1. Intelligence activities at the Vihiga District Treasury Office