



DIRECTORATE OF INVESTIGATION AND ASSET TRACING

MONTHLY REPORT, NOVEMBER 2011

EXECUTIVE SUMMARY

The Directorate of Investigations and Asset Tracing is one of the four Directorates of the Commission. The following is a summary of the Directorate's activities for the month of **November 2011**. The report covers activities in the Headquarters and the Regional Offices of Mombasa, Nyeri, Garissa, Eldoret and Kisumu.

Reports Centre

		August	Sept	October	November
1	Total Reports Received	690	374	515	664
2	Reports taken up for Investigations	282	166	176	261
3	% Relevance of Cases Received	41%	44%	34%	39%

Operations

		August	Sept	October	November
1	Cases finalized and taken to Court.	2	2	3	0
2	Cases Closed / NFA	24	8	10	7
3	People Arrested and Charged.	9	1	1	0
4	Cases pending under investigations.	16	3	4	1

Forensic Investigations

		August	Sept	October	November
1	Investigations finalized and sent to the AG	3	7	7	2
2	Cases pending before court	5	2	5	
3	Cases concluded in court	-	1	-	-

4	Cases pending under investigations	1395	1517	1542	1239
---	------------------------------------	------	------	-------------	-------------

Intelligence

		August	Sept	October	November
1	Reports under Intelligence Development	13	6	12	3
2	Tests Executed under ITP	11	1	7	
3					

PART 1: REPORTS CENTRE

1.1 Summary of Cases

During the month of **November 2011**, the Reports Centre (Nairobi, Mombasa, Nyeri & Kisumu) processed a total of 664 reports.

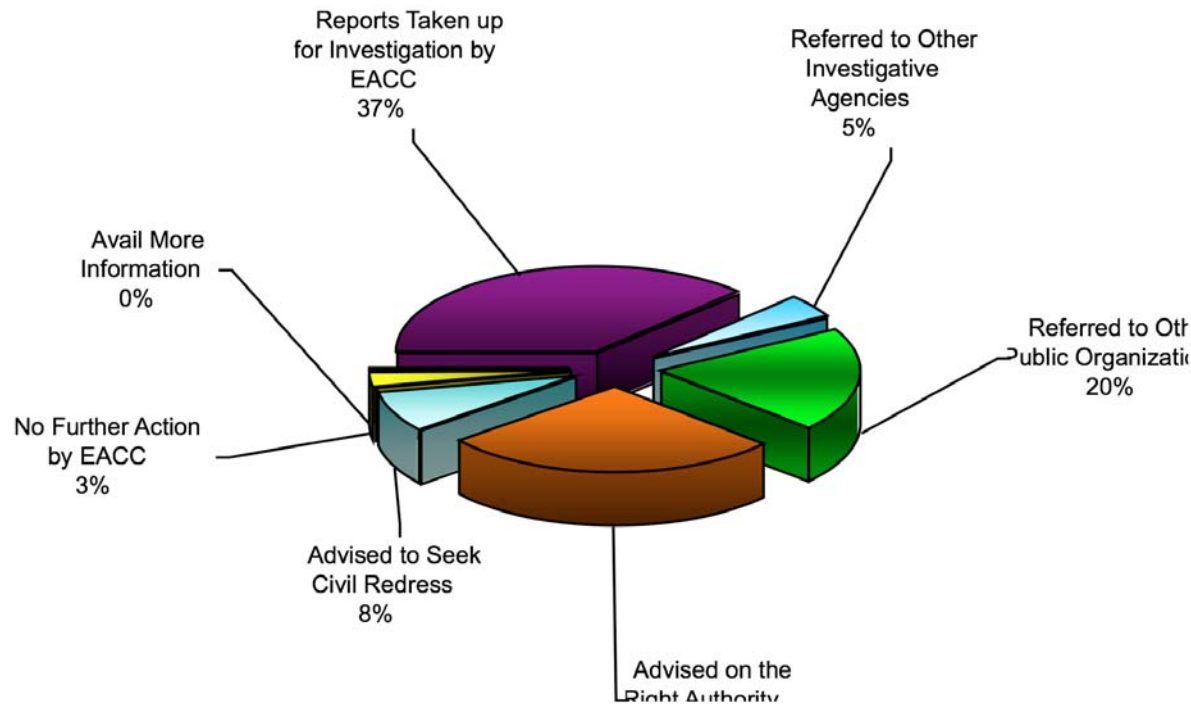
- 567 reports processed in Nairobi.
- 35 reports processed in Mombasa.
- 3 reports processed in Nyeri
- 59 reports processed in Kisumu.

The following table highlights disposal of the reports.

	Category	Referral Agency	No. of cases	Total
1	Reports for action by EACC	EACC - Intelligence Production	152	262
		EACC – Rapid Response	33	
		EACC – Forensics	76	
		EACC – Legal Services	-	
		EACC – Preventive Services	1	
2	Reports to Other Investigative Agencies	Criminal Investigation Department	6	32
		Kenya Revenue Authority KRA	18	
		Police	0	
		Immigration Dept	8	
3	Reports to Public Service Organisations	Ministry of Basic Education	47	138
		Provincial Administration	16	
		Ministry of Higher Education	8	
		Public Complaints Standing Committee	1	
		Ministry of Lands and Settlement	4	
		Ministry of Finance	0	

		Ministry of Co-operative Development	4	
		Ministry of Agriculture	0	
		Public Procurement Oversight Authority	22	
		Other Public Bodies	36	
4	Advised to Pursue the Matter in Court			56
5	Advised on the right authority to report to			193
6	Complainants to Avail More Information			2
7	No Further Action by EACC			15
Total				698

Categorization of reports processed by EACC in October 2011 (awaiting data from Eldoret and Garissa)



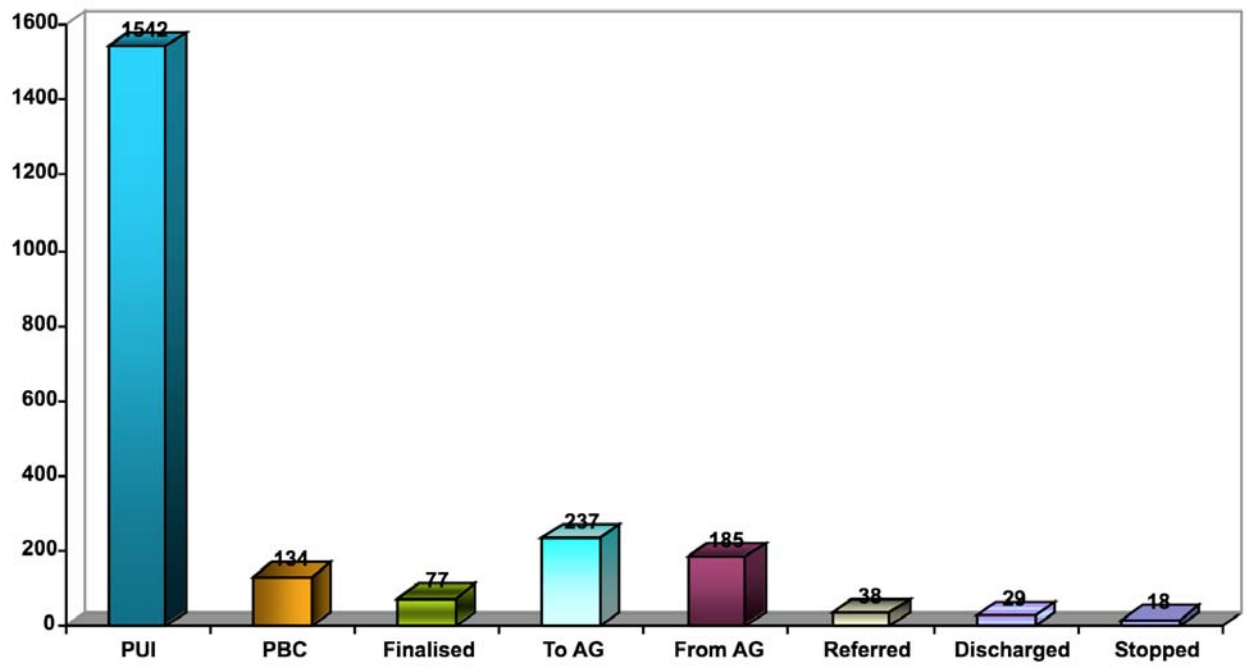
PART II – FORENSICS INVESTIGATIONS

2.1 Summary of Cases

Item	Description/Status	Nbi	Msa	Ksm
1.Cases / Reports	Case Status/Distribution			
	New Cases Received during the month	19	2	
	New Cases assigned for Investigations	19	3	
	On-Going Investigations			
	Cases awaiting allocation / PUI			
	Files forwarded to Crime Readers	4		
2.To A- G	Files sent to Crime Reader(s)			
	With Recommendation to Prosecute	1	1	
	With Recommendation for Admin Action	-	Nil	
	With Recommendations for closure	1	Nil	
	After Further Investigations	-	Nil	
3. From A- G	Files Received from the Attorney-General/Crime Reader(s)			
	To Prosecute	-	Nil	
	For Administrative Action	-	Nil	
	For Consolidate	-	Nil	
	For Further Investigations	-	Nil	
	To Close due to insufficient evidence	-	Nil	

	Points to cover	-	1	
4. Court	Cases in Court:			
	Cases Taken for Plea		Nil	
	Cases Before Court			
5. Finalize d	Cases Finalized During the Month			
	Convictions	-	Nil	
	Acquittals	-	Nil	
	Discharges	-	Nil	
	'Nolle prosequi'	-	Nil	

Graphical Representation of the Cases as of 30th November 2011



PART III – OPERATIONS DEPARTMENT

3.1 Summary of Cases

Below is a tabulation of cases and their respective status handled by the Department in **November 2011**

New cases received During the Month

Category		Status	Nbi	Msa	Ksm	Total
1.	Bribery	Number of cases taken to court	0	0		
		Cases pending under Investigation	1	2		
		Cases Closed, No Further Action	7	2		
		PAKA	0	0		
		Cases Referred	1	0		
2.	Sting			0		
Number of persons arrested and charged						

The summary below shows the cumulative cases dealt with by the Rapid Response Division from **January to November**.

Status	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Total
Number of cases taken to court	3	8	7	4	5	4	2	2	2	3	0	
Cases Closed, No Further Action	23	17	19	26	23	27	29	24	8	10	7	
Cases Referred	2	6	7	8	4	2	4	4	2	1	1	
Number of Persons arrested and charged	11	8	6	6	6	4	2	9	1	1	0	
Cases Pending Under Investigation											1	

PART IV – INTELLIGENCE DEPARTMENT

4.1 Summary of Cases

The Department received reports from the Report Centre, Intelligence Reports (IR) from various officers during the month of **November 2011**.

Breakdown

			Nbi	Msa	Ksm	Total
1	Reports received	Reports Centre	41	2		
		Confidential sources	8	4		
		Integrity Testing				
2	Intelligence probes Conducted	47	3			
3	Cases retained for Analysis and Production	2	1			
4	Cases Referred to Other Departments					
5	Integrity Tests conducted					

4.2 Monthly Activities

Mombasa

1. Intelligence activities and surveillance of SGS staff and police officers manning the Mariakani weighbridge.
2. Surveillance on corrupt activities at Kilindini Port and tax evasion at the border entry point of Taveta with a view of conducting a sting operation. Investigations are ongoing.
3. Intelligence probe on allegations of irregular tendering at the Kenya Bureau of Standards. Investigations are ongoing.
4. Joint forensic investigations into allegations of collusion by lands officers and other parties to fraudulently acquire a 25-acre beach plot in Kwale i.e. Block 151/Kwale Diani Beach plots.